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PATENT
Attorney Docket No.: 18623-014500US
Client Reference No.: 0145.00US

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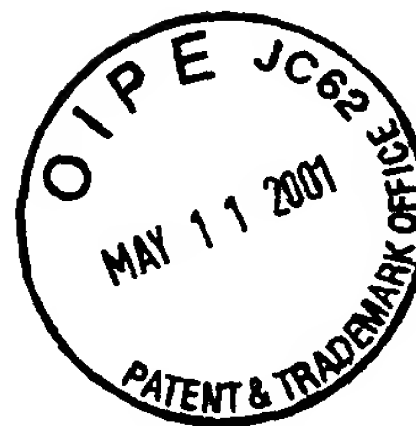
MAY 16 2001

TECH CENTER 1600/2900

On 7 May 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Malinda D. O'Neil



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN FIKES et al.

Application No.: 09/458,297

Filed: December 10, 1999

For: INDUCING CELLULAR IMMUNE
RESPONSES TO p53 USING PEPTIDE
AND NUCLEIC ACID COMPOSITIONS

Examiner:

Art Unit: 1616

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report as issued in the corresponding PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

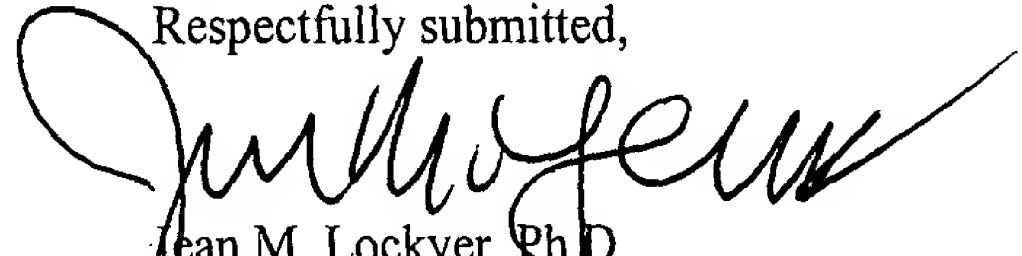
no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

CERTIFICATION

I hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Jean M. Lockyer, Ph.D.
Reg. No. 44,879

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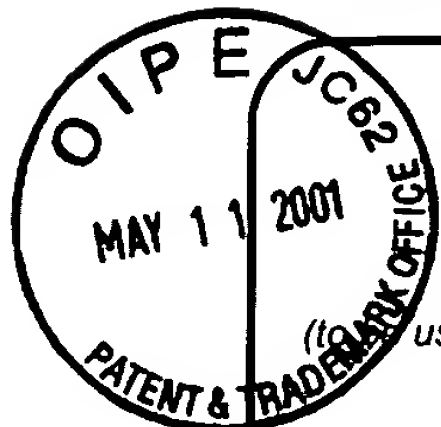
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/458,297

Filing Date December 10, 1999

First Named Inventor Fikes, John, et. al

Group Art Unit 1616

Examiner Name

MAY 16 2001

Total Number of Pages in This Submission

Attorney Docket Number 18623014500

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement
w/Form PTO-1449 and
refs. AA-AC

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
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Remarks

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Townsend and Townsend and Crew LLP

Jean M. Lockyer, Ph.D.

Reg No. 44,879

Signature

Date

May 7, 2001

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Malinda C. Dagit

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